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(54) **PHOSPHOR LAYER ARRANGEMENT FOR USE WITH LIGHT EMITTING DIODES**

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**H01L 51/50** (2006.01)

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(58) **Field of Classification Search** ..... **313/500-512**;  
257/98-100

See application file for complete search history.

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*Primary Examiner*—Nimeshkumar D Patel

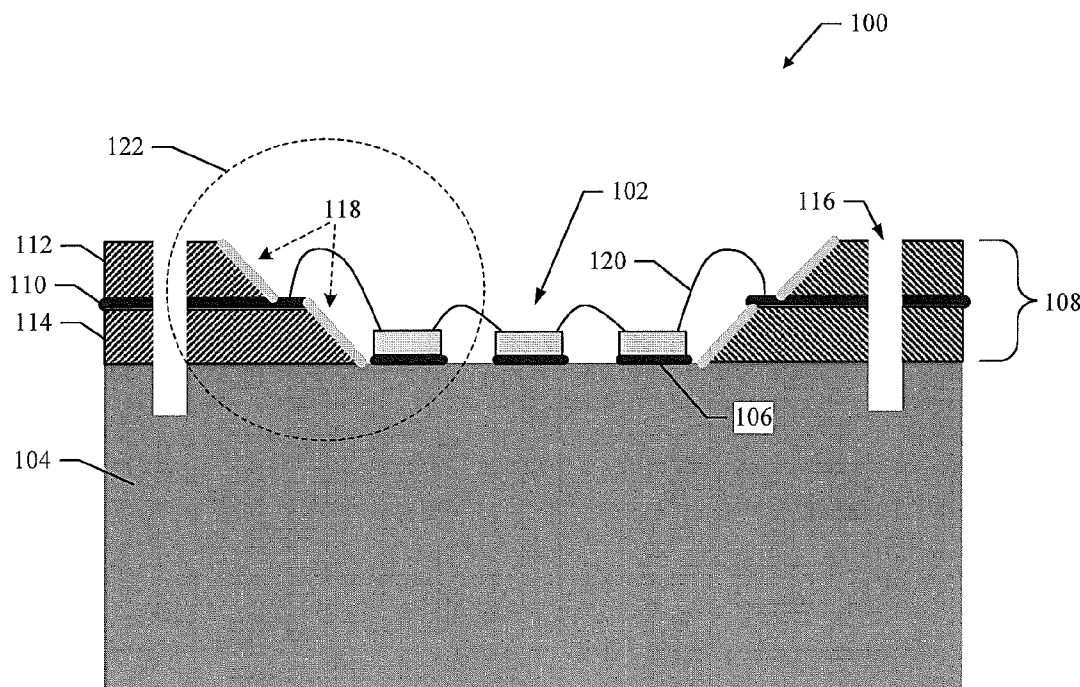
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(57) **ABSTRACT**

Phosphor layer arrangement for use with light emitting diodes. In an aspect, a light emitting diode apparatus is provided that includes a least one light emitting diode, an encapsulation covering the at least one light emitting diode, a lens having a phosphor layer formed upon a bottom surface, the lens positioned to cover at least part of the encapsulation, and an air gap between the phosphor layer and the encapsulation. In an aspect, a light emitting diode lamp is provided that includes a package, a least one light emitting diode, an encapsulation covering the at least one light emitting diode, a lens having a phosphor layer formed upon a bottom surface, wherein the lens is positioned to cover at least part of the encapsulation, and an air gap between the phosphor layer and the encapsulation.

**12 Claims, 6 Drawing Sheets**



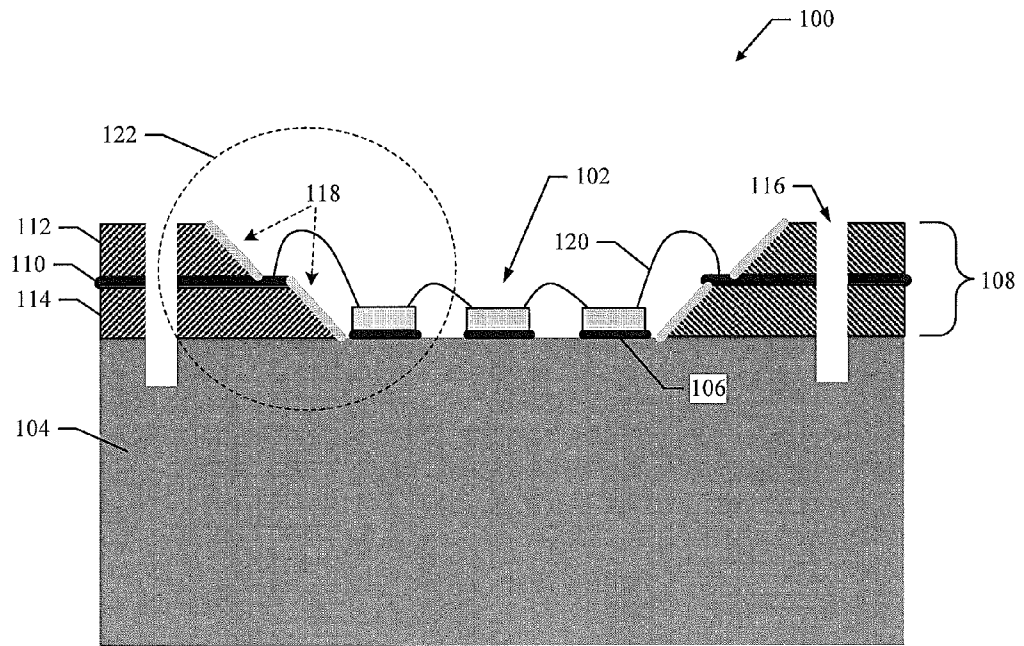


FIG. 1

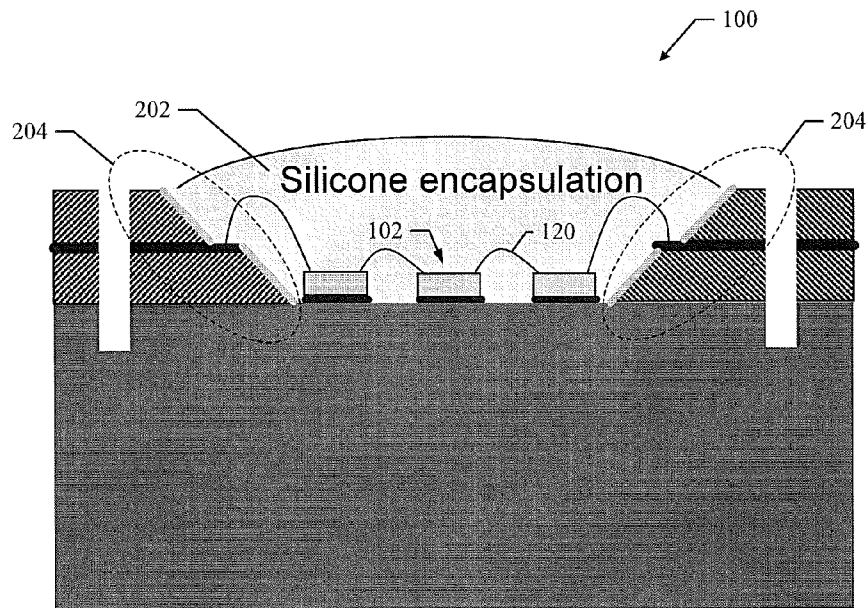


FIG. 2

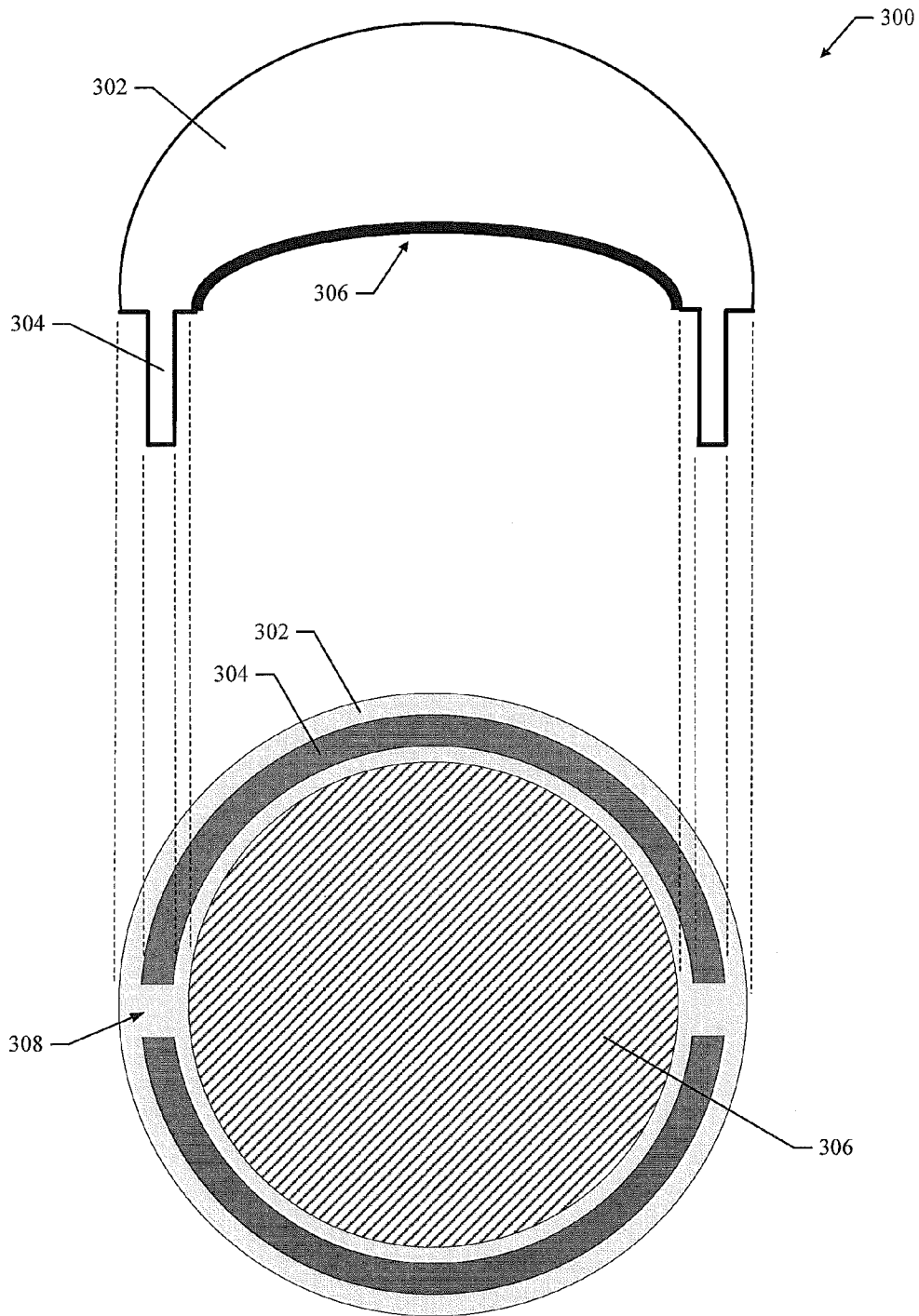


FIG. 3

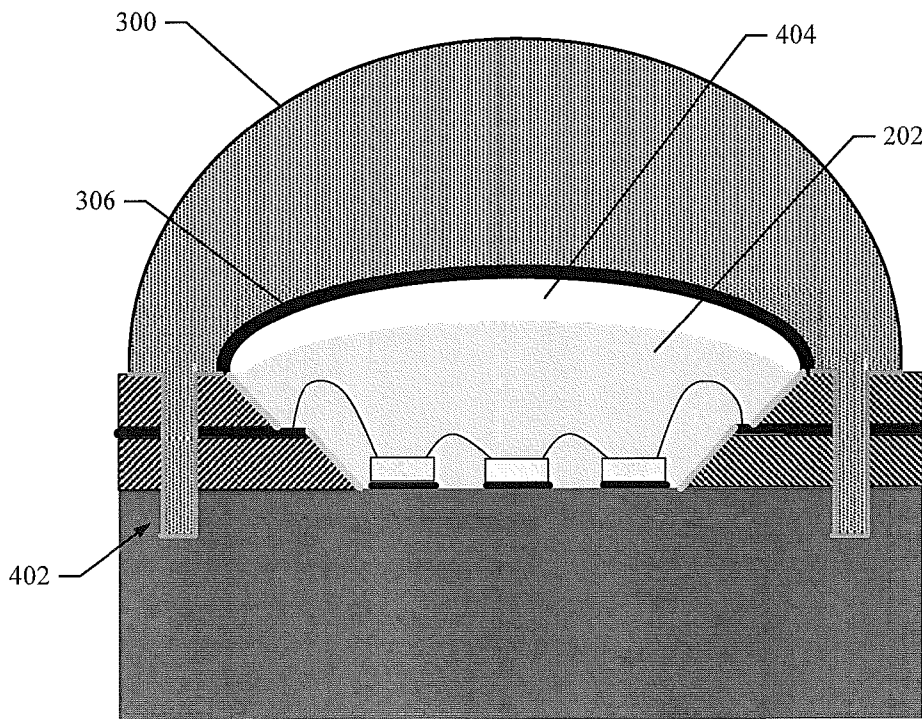


FIG. 4

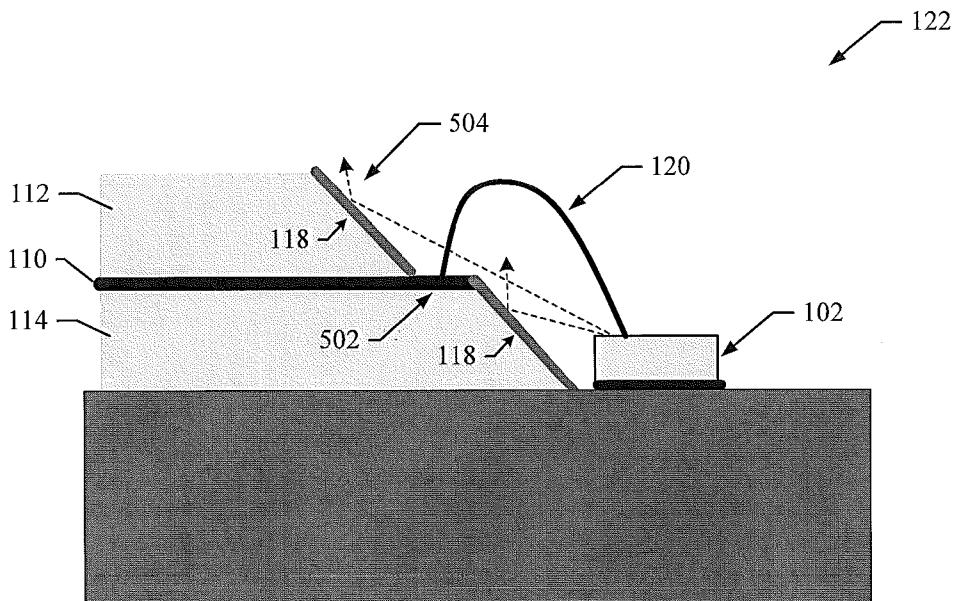


FIG. 5

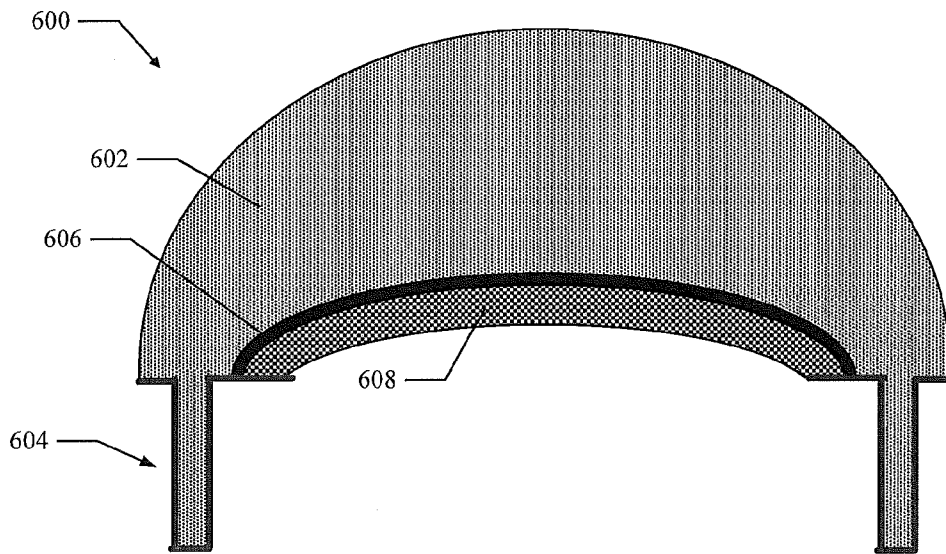


FIG. 6

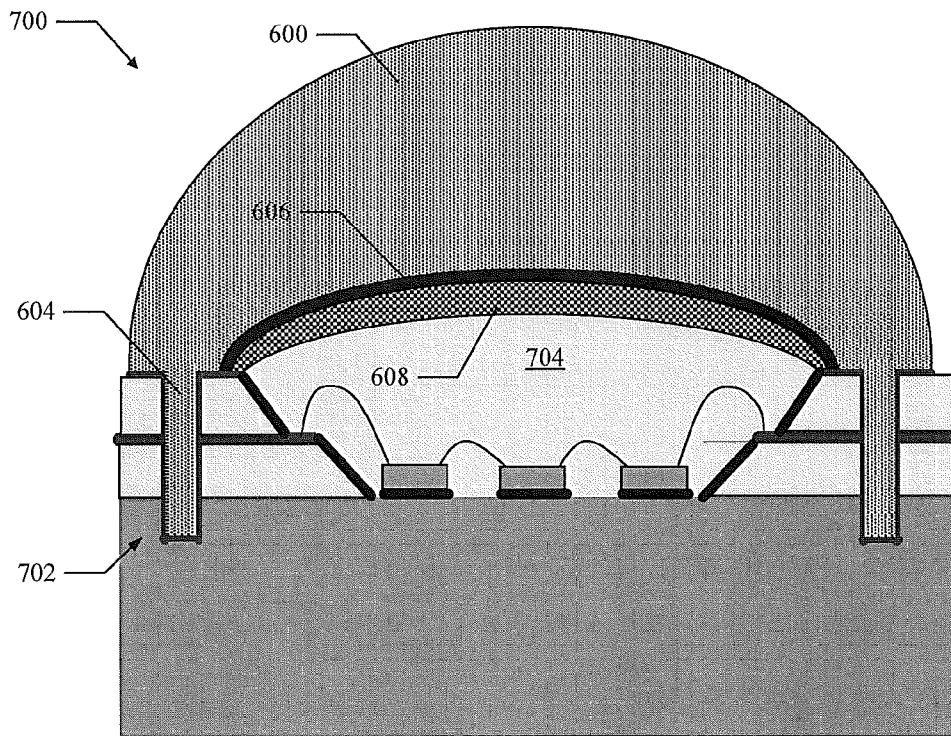


FIG. 7

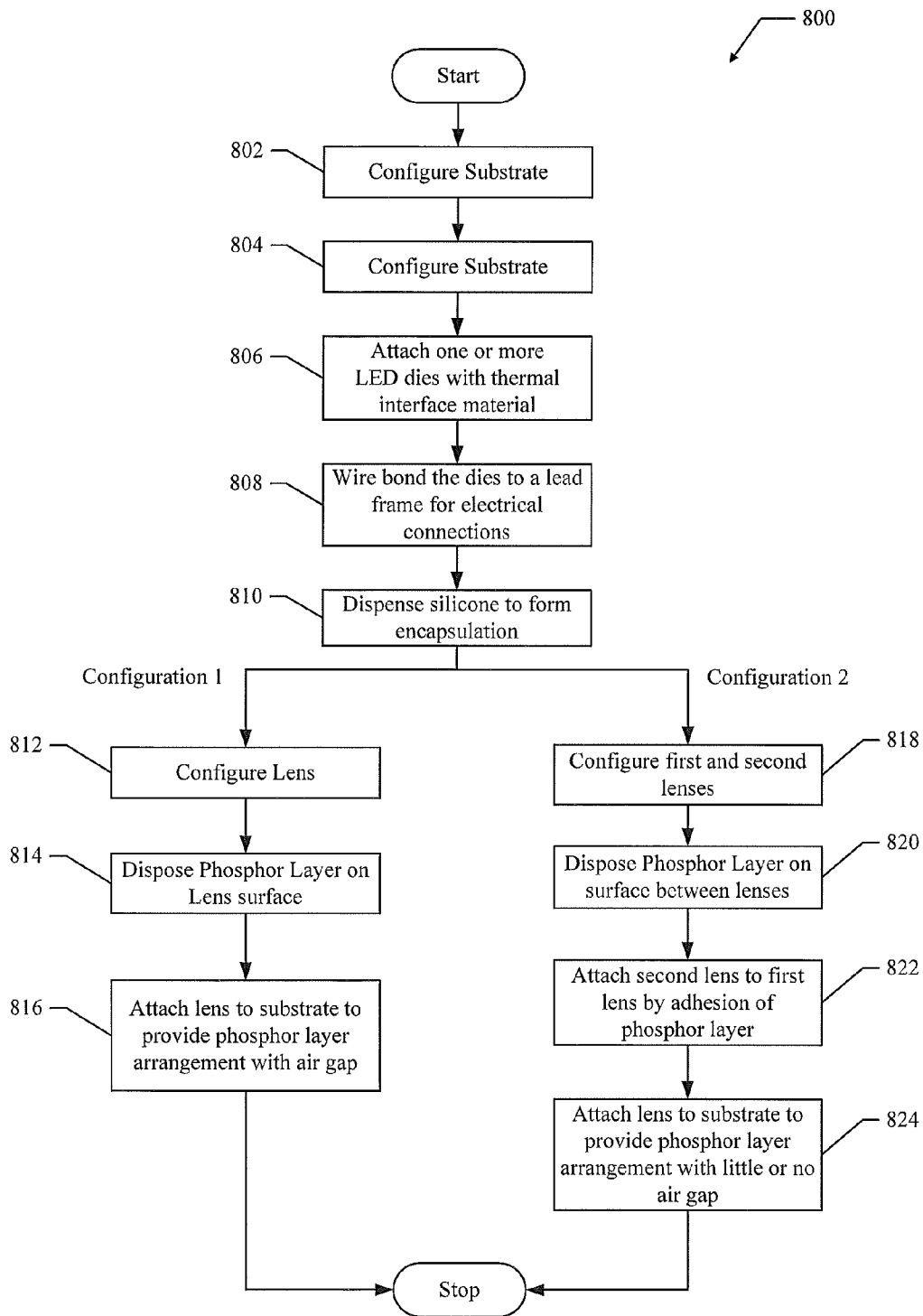
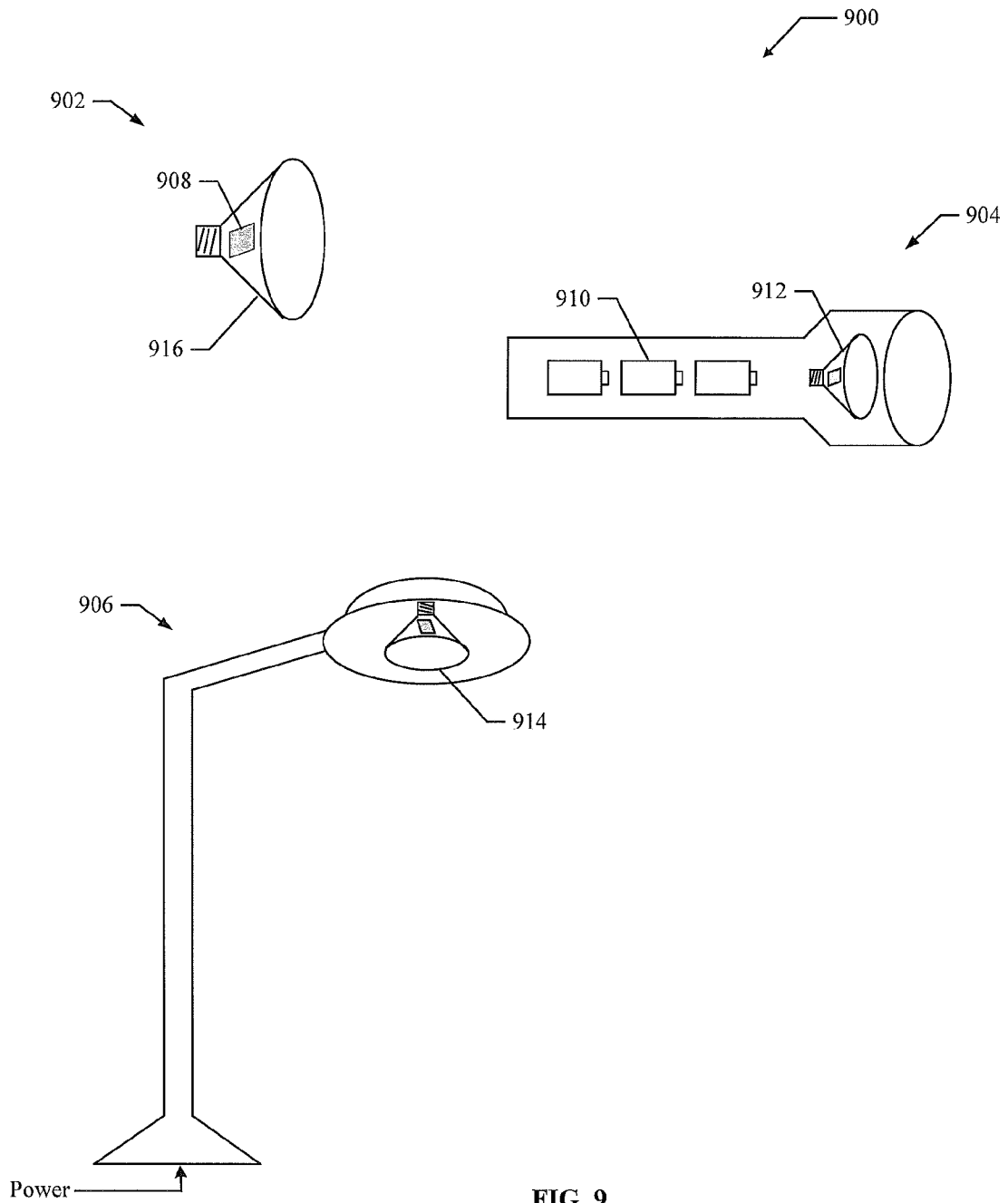


FIG. 8



# PHOSPHOR LAYER ARRANGEMENT FOR USE WITH LIGHT EMITTING DIODES

## BACKGROUND

### 1. Field

The present application relates generally to light emitting diodes, and more particularly, to a phosphor layer arrangement for use with light emitting diodes to provide enhanced light output.

### 2. Background

Light emitting diodes (LEDs) for use as indicators are well known. LEDs have been used extensively for this purpose in consumer electronics. For example, red LEDs are commonly used to indicate that power has been applied to devices such as radios, televisions, video recorder (VCRs) and the like.

Recently, high-power LEDs have seen increased use in general lighting applications. For example, high-power LEDs can now be found in overhead lighting, street lighting, and in automotive application, such as in automobile headlamps. Typically, high-power LEDs are provided as an assembly comprising one or more LEDs mounted to a substrate and protected by an encapsulation. Light emitted from the LEDs passes through and is focused by the encapsulation before it is visible to a user.

It is desirable to have high-power LED assemblies that produce light of different colors. For example, white light can be produced by using phosphor to convert blue light emitted from an LED. In conventional LED assemblies, an organic phosphor dye is used to coat the LED chip. Unfortunately, this arrangement has poor conversion efficiency and low light output because of "backscatter." Backscatter occurs because the phosphor layer is in direct contact with the LED chip causing light to reflect back toward the LED chip thereby decreasing light output.

Therefore, what is needed is a way to avoid the problems associated with backscatter to increase the optical output of high power LEDs

## SUMMARY

In one or more aspects, a phosphor layer arrangement is provided for use with one or more light emitting diodes to overcome the problems associated with backscatter to provide increased optical output.

In an aspect, a light emitting diode apparatus is provided that comprises a least one light emitting diode, an encapsulation covering the at least one light emitting diode, a lens having a phosphor layer formed upon a bottom surface, the lens positioned to cover at least part of the encapsulation, and an air gap between the phosphor layer and the encapsulation.

In an aspect, a method is provided for forming a light emitting diode apparatus. The method comprises encapsulating at least one light emitting diode with an encapsulation, covering the encapsulation with a lens having a phosphor layer formed upon a bottom surface, wherein an air gap is formed between the encapsulation and the phosphor layer.

In an aspect, a light emitting diode lamp is provided that comprises a package, a least one light emitting diode, an encapsulation covering the at least one light emitting diode, a lens having a phosphor layer formed upon a bottom surface, wherein the lens is positioned to cover at least part of the encapsulation, and an air gap between the phosphor layer and the encapsulation.

In an aspect, an illumination device is provided that comprises a power source and a light emitting diode lamp in electrical communication with the power source. The light

emitting diode lamp comprises a package, a least one light emitting diode, an encapsulation covering the at least one light emitting diode, a lens having a phosphor layer formed upon a bottom surface, wherein the lens is positioned to cover at least part of the encapsulation, and an air gap between the phosphor layer and the encapsulation.

In an aspect, a light emitting diode apparatus is provided that comprises a least one light emitting diode, an encapsulation covering the at least one light emitting diode, and a lens having a phosphor layer formed between first and second lens portions, the lens positioned so that the second lens portion fits against the encapsulation.

Other aspects will become apparent after review of the hereinafter set forth Brief Description of the Drawings, Description, and the Claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing aspects described herein will become more readily apparent by reference to the following Description when taken in conjunction with the accompanying drawings wherein:

FIG. 1 shows an exemplary substrate for use with aspects of a phosphor layer arrangement;

FIG. 2 shows the substrate of FIG. 1 with a silicone encapsulation for use in aspects of a phosphor layer arrangement;

FIG. 3 shows exemplary side and bottom views of a lens for use in aspects of a phosphor layer arrangement;

FIG. 4 shows an exemplary LED assembly having aspects of a phosphor layer arrangement;

FIG. 5 shows a detailed view of an exemplary stepped reflector for use in aspects of a phosphor layer arrangement;

FIG. 6 shows an exemplary lens for use in aspects of a phosphor layer arrangement;

FIG. 7 shows an exemplary LED assembly having aspects of a phosphor layer arrangement;

FIG. 8 shows an exemplary method for providing LED assemblies having aspects of a phosphor layer arrangement; and

FIG. 9 shows exemplary devices suitable for use with LED assemblies configured to have aspects of a phosphor layer arrangement.

## DESCRIPTION

In various aspects, a phosphor layer arrangement is provided for use with one or more light emitting diodes so as to provide increased optical output.

FIG. 1 shows an exemplary substrate **100** for use with aspects of a phosphor layer arrangement. The substrate **100** comprises three LED dies shown generally at **102** that are mounted to substrate material **104**. Although three LED dies are shown, aspects of the phosphor layer arrangement are suitable for use with any number of LED dies. In an aspect, the substrate material **104** comprises at least one of Al, Cu, AlN, and AlSiC. However, the substrate material **104** may comprise of any suitable material. The LED dies **102** are mounted to the substrate material **104** with a thermal interface material **106**. A plastic lamination **108** is also mounted to the substrate material **104**. The plastic (or FR4) lamination **108** comprises a lead frame **110** sandwiched between upper **112** and lower **114** layers of plastic (or FR4). The lead frame **110** comprises copper or silver and allows power to be routed to the LED dies **102**. The plastic (or FR4) lamination **108** and substrate **104** include a slot **116** to allow for mounting of a lens which is described in detail in another section of this document.



Silver plate reflectors **118** are mounted on the plastic (or FR4) lamination **108** to form a stepped reflector which exposes a portion of the lead frame **110** to provide a bonding region to attach to a bonding wire **120**. A more detailed description of the stepped reflector region **122** is provided in another section of this document

FIG. **2** shows the substrate **100** with a silicone encapsulation **202** for use in aspects of a phosphor layer arrangement. For example, the encapsulation **202** is molded or otherwise formed within the region bounded by the stepped reflector **204**. The encapsulation **202** operates to protect the LED dies **102** and bonding wires **120**. The encapsulation **202** also operates to extract light emitted from the LED dies **102**.

FIG. **3** shows side and bottom views of an exemplary lens **300** for use in aspects of a phosphor layer arrangement. The lens **300** is configured to focus light emitted by the LED dies **102** and extracted by the encapsulation **202**. The lens **300** includes a domed focusing portion **302** that comprises glass or plastic and is configured to fit over the encapsulation **202**. The lens **300** also comprises a ridge feature **304** that is configured to fit into the slot **116** shown in FIG. **1**. As illustrated at **308**, there is a break in the ridge feature to provide a path for the lead frame **110**.

A phosphor layer **306** is formed upon a bottom surface of the lens **300**. In various aspects, the phosphor layer **306** may be deposited, etched, attached, or otherwise prepared or fabricated upon the bottom surface of the lens **300**. For example, the phosphor layer is coated or molded onto the bottom surface of the lens **300** with a thickness that depends on the optical design to produce the maximum light output (i.e., lumens per watt). It should be noted that aspects of the phosphor layer arrangement are not limited to producing only white light because different type of phosphor may be used to produce a different color light.

The phosphor layer **306** is configured to efficiently convert blue light emitted from the LED dies **102** into white light. In an aspect, the phosphor layer **306** comprises an inorganic dye distributed with a uniform thickness over the bottom surface of the lens **300**. In another aspect, the phosphor layer **306** is distributed with varying thicknesses over the bottom surface of the lens **300**. For example, in an aspect, the phosphor layer **306** is distributed with a thickness in the range of 5 to 500 microns. Thus, the application of the phosphor layer **306** to the bottom surface of the lens can be done with any desired thickness to achieve highly efficient conversion of light.

FIG. **4** shows an exemplary LED assembly **400** having a phosphor layer arrangement. In the assembly **400**, the lens **300** is fitted on the substrate material **104** by inserting the ridge feature **304** into the slot **116** as illustrated at **402**. When the lens **300** is fitted on the substrate material **104**, the phosphor layer **306** is positioned above the encapsulation **202** so that light passing through the encapsulation **202** will strike the phosphor layer **306**. In an aspect, an adhesive is used to seal the lens **300** into position.

When the lens **300** is in place, an air gap **404** is formed between the encapsulation **202** and the phosphor layer **306**. In an aspect, the air gap is in the range of 5 to 1000 microns thick. The air gap **404** provides a lower refractive index between the encapsulation **202** and the phosphor layer **306** that allows more light to pass through the phosphor layer **306** and reduces the amount of light reflected back into the encapsulation **202** as backscatter. For example, without the phosphor layer arrangement described herein, backscatter may account for 60% of the light emitted from the LED dies. With the phosphor layer arrangement described herein, there may be up to a 20-30% improvement in light total output. Thus, more light is output from the lens **300**.

The size of the air gap is determined by the shape of the lens **300**, the encapsulation **202**, and the phosphor layer **306**. By controlling these geometries, an air gap of virtually any size or shape can be formed so as to reduce backscatter and maximize the amount of light output from the lens.

It should also be noted that in various aspects, the geometries and/or shapes described with reference to the phosphor layer **306** and the air gap **404** may have varying dimensions. Thus, in various aspects, a phosphor layer arrangement may be formed have varying dimensions and is not limited to having the exact features or dimensions described herein.

FIG. **5** shows a detailed view of the stepped reflector region **122** illustrated in FIG. **1**. The stepped reflector region **122** is formed by reducing the amount of plastic material of the upper plastic layer **112** so as to expose a bonding area **502** of the lead frame **110**. Reflective material **118** is placed on the upper plastic **112** and lower plastic **114** surfaces as illustrated. The reflective material **118** may be Ag or any other suitable material. A bonding wire **120** can be attached to the bonding area **502** to provide power to the LED die **102**. As illustrated, both the upper **112** and lower **114** regions of the stepped reflector reflect light emitted from the die **102** as shown at **124**.

Thus, aspects of a phosphor layer arrangement comprises a stepped reflector that provides a bonding region while providing a reflective region to reflecting light emitted from the LED die **102**.

FIG. **6** shows an exemplary lens **600** for use in aspects of a phosphor layer arrangement. The lens **600** is configured to focus light emitted by the LED dies **102** and extracted by the encapsulation **202**. The lens **600** includes a first lens portion **602** that comprises glass or plastic and is configured to fit onto the substrate **104**. The first lens portion **602** also comprises a ridge feature **604** that is configured to fit into the slot **116** shown in FIG. **1**. In an aspect, the ridge feature **604** also includes a break (or recess) to provide a path for the lead frame **110**.

A phosphor layer **606** is formed upon a bottom surface of the first lens portion **602**. In various aspects, the phosphor layer **606** may be disposed on, deposited, etched, attached, or otherwise prepared or fabricated upon the bottom surface of the first lens portion **602**. For example, the phosphor layer is coated or molded onto the bottom surface of the first lens portion **602** with a thickness that depends on the optical design to produce the maximum light output (i.e., lumens per watt). It should be noted that aspects of the phosphor layer arrangement are not limited to producing only white light because different type of phosphor may be used to produce a different color light.

The phosphor layer **606** is configured to efficiently convert blue light emitted from the LED dies **102** into white light. In an aspect, the phosphor layer **606** comprises an inorganic dye distributed with a uniform thickness over the bottom surface of the first lens portion **602**. In another aspect, the phosphor layer **606** is distributed with varying thicknesses over the bottom surface of the first lens portion **600**. For example, in an aspect, the phosphor layer **606** is distributed with a thickness in the range of 5 to 500 microns. Thus, the application of the phosphor layer **606** to the bottom surface of the lens can be done with any desired thickness to achieve high efficiency conversion of light.

The lens **600** also comprises a second lens portion **608** that comprises glass or plastic having a low refractive index. In an aspect, the second lens portion **608** may be any suitable transparent material with a low index of refraction. Transparent

means no significant obstruction or absorption of electromagnetic radiation in the particular wavelength (or wavelengths) of interest.

The second lens portion **608** adheres to the phosphor layer and has a shape and thickness based on the following factors:

1. Phosphor layer shape and thickness
- 2 Encapsulation shape
3. Contoured to provide a small air gap or no air gap between the second lens portion **608** and encapsulation.

Thus, because of the low refractive index of the second lens portion **608**, less backscatter will occur and more light will be focused to pass through the phosphor layer **606** and be converted to white light. It should also be noted that in another aspect, the phosphor layer **606** is formed upon a top surface of the second lens portion **608**. Thus, several techniques are possible to generate a phosphor layer arrangement where the phosphor layer **606** is formed between the first and second lens portions.

FIG. **7** shows an exemplary LED assembly **700** having aspects of a phosphor layer arrangement. In the assembly **700**, the lens **600** is fitted onto the substrate material **702** by inserting the ridge feature **604** into a slot formed in the substrate material as illustrated at **702**. When the lens **700** is fitted on the substrate material **702**, the phosphor layer **606** is positioned above the encapsulation **704**. In an aspect, an adhesive is used to seal the lens **600** into position.

When the lens **600** is in place, the second lens portion **608** fits against the encapsulation **704** with little or no air gap. The second lens portion **608** provides a low refractive index between the encapsulation **704** and the phosphor layer **606** that reduces backscattering and allows more light to pass through the phosphor layer **606**. Thus, the amount of light reflected back into the encapsulation **704** as backscatter is reduced and more light is output from the lens **600**.

It should also be noted that in various aspects, the geometries or shapes described with reference to the lens **600** and the phosphor layer **606** may have varying dimensions. Thus, aspects of a phosphor layer arrangement may have varying dimensions and are not limited to having the exact features or dimensions described herein.

#### Formation of a Phosphor Layer Arrangement

FIG. **8** shows an exemplary method **800** for providing LED assemblies having aspects of a phosphor layer arrangement. For clarity, the method **800** is described below with reference to the phosphor layer arrangement shown in FIG. **4** and FIG. **7**.

At block **802**, a substrate is configured. For example, the substrate is configured to carry one or more LED dies and to have a slot, such as slot **116** shown in FIG. **1**, for mounting a lens. In an aspect, the substrate is comprised of Al, Cu, AlN, AlSiC or other suitable material.

At block **804**, a plastic lamination having a stepped reflector is mounted to the substrate. The plastic (or FR4) lamination includes a lead frame comprising Cu and/or Ag to provide power to the LED dies. The stepped reflector is configured to expose a bonding pad on the lead frame while providing reflecting surfaces to reflect light emitted from the LED dies. For example, the reflecting surfaces comprise Ag plate reflectors.

At block **806**, one or more LED dies are attached to the substrate using a thermal interface material. In an aspect, the LED dies emit blue light when power is applied.

At block **808**, the LED dies are wire bonded to the lead frame. For example, the LED dies are wire bonded to the bonding pads of the lead frame that are exposed by the

stepped reflector of the plastic lamination. The lead frame carries power to the LED dies via the bonded wires.

At block **810**, a silicone encapsulation is dispensed within the region formed by the stepped reflector and the substrate to cover the LED dies and bonding wires. The encapsulation operates to extract light and may comprise any suitable shape, such as a domed shape.

At this point, one of two phosphor layer configurations may be formed. A first configuration is formed by blocks **812** through **816**. A second configuration is formed by blocks **818** through **824**.

#### First Phosphor Layer Arrangement Configuration

At block **812**, a lens is configured. In an aspect, the lens operates to focus light from the LED dies and is configured to have a domed shape. The lens also has a ridge features (i.e., ridge feature **304** as illustrated in FIG. **2**, for mounting to the substrate.

At block **814**, a phosphor layer is formed upon a lens surface. The phosphor layer converts the blue light emitted from the LED dies to white light. In an aspect, the phosphor layer is an inorganic dye that is molded on a bottom surface of the lens and may be uniform or non-uniform in thickness. In an aspect, the phosphor layer may be configured to have any desired shape and/or thickness.

At block **816**, the lens is attached to the substrate to provide a phosphor layer arrangement with an air gap. In an aspect, the lens is fitted over the encapsulation and is mounted to the substrate by inserting the ridge features of the lens into the slots of the substrate. Any type of glue or adhesive may be used to secure the lens to the substrate. In an aspect, the lens is configured so that an air gap is formed between the encapsulation and the phosphor layer. The air gap may be configured to have any desired thickness and/or shape. In an aspect, the air gap provides a low refractive index that reduces backscatter to allow light emitted from the LED dies to pass through the phosphor layer.

#### Second Phosphor Layer Arrangement Configuration

At block **818**, first and second lenses are configured. For example, the first and second lenses are configured to fit together and to mount on the substrate. The first and the second lens are configured to focus light emitted from the LED dies. The first and second lens may have any suitable shape, and when they are fit together they define an internal region with pre-determined dimensions.

At block **820**, a phosphor layer is formed upon a lens surface. In an aspect, an inorganic phosphor dye is formed upon the bottom surface of the first lens or on the top surface of the second lens. Thus, the phosphor layer is formed between the first and second lenses. The phosphor layer is configured to have any desired thickness and fit within the internal region defined by the two lens portions.

At block **822**, the first and second lenses are attached together to form a lens assembly. In an aspect, the lenses are attached by the adhesion of the phosphor layer.

At block **824**, the lens assembly is attached to the substrate to form a phosphor layer arrangement. In an aspect, the lens assembly is mounted to the substrate material using a ridge feature and is sealed onto the substrate material with adhesive. When the lens assembly is mounted onto the substrate material, either no air gap or a small air gap is formed between the encapsulation **202** and the lens assembly. The second lens portion provides a low refractive index that reduces backscatter to allow more light to pass through the phosphor layer.

Therefore, the method **800** operates to provide a LED assembly comprising aspects of a phosphor layer arrangement as described herein. It should be noted that the opera-

tions of the method **800** may be rearranged or otherwise modified within the scope of the various aspects. Thus, other implementations are possible with the scope of the various aspects described herein.

FIG. **9** shows exemplary devices **900** suitable for use with LED assemblies comprising aspects of a phosphor layer arrangement as described herein. FIG. **9** shows a lamp **902**, an illumination device **904**, and a street light **906**. Each of the devices shown in FIG. **9** includes a LED assembly having a phosphor layer arrangement, to provide efficient conversion and extraction of white light. For example, the lamp **902** comprises a package **916** and a LED assembly **908** that includes one or more LEDs and a phosphor layer arrangement to convert and extract light. The lamp **902** may be used for any type of general illumination. For example, the lamp **902** may be used in an automobile headlamp, street light, overhead light, or in any other general illumination application. The illumination device **904** comprises a power source **910** that is electrically coupled to a lamp **912**, which may be configured as the lamp **902**. In an aspect, the power source **910** may be batteries or any other suitable type of power source, such as a solar cell. The street light **906** comprises a power source connected to a lamp **914**, which may be configured as the lamp **902**. In an aspect, the lamp **914** comprises a LED assembly that includes one or more LEDs and a phosphor layer arrangement to convert and extract light

It should be noted that aspects of the phosphor layer arrangement described herein are suitable for use with virtually any type of LED assembly, which in turn may be used in any type of illumination device and are not limited to the devices shown in FIG. **9**. Thus, the phosphor layer arrangement described herein provides for efficient conversion and increased extraction of light emitted from one or more LEDs and can be used in a variety of device applications.

The description of the disclosed aspects is provided to enable any person skilled in the art to make or use the present invention. Various modifications to these aspects may be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other aspects, without departing from the spirit or scope of the invention. Thus, the present invention is not intended to be limited to the aspects shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein. The word “exemplary” is used exclusively herein to mean “serving as an example, instance, or illustration.” Any aspect described herein as “exemplary” is not necessarily to be construed as preferred or advantageous over other aspects.

Accordingly, while aspects of a phosphor layer arrangement have been illustrated and described herein, it will be appreciated that various changes can be made to the aspects

without departing from their spirit or essential characteristics. Therefore, the disclosures and descriptions herein are intended to be illustrative, but not limiting, of the scope of the invention, which is set forth in the following claims.

What is claimed is:

1. A light emitting diode apparatus comprising:
  - at least one light emitting diode;
  - an encapsulation covering the at least one light emitting diode;
  - a stepped reflector that forms a boundary for the encapsulation wherein said stepped reflector comprises a wire bonding region;
  - a lens having a phosphor layer formed upon a bottom surface, the lens positioned to cover at least part of the encapsulation; and
  - an air gap between the phosphor layer and the encapsulation.
2. The apparatus of claim 1, wherein said phosphor layer comprises an inorganic dye.
3. The apparatus of claim 1, wherein said phosphor layer has a uniform thickness.
4. The apparatus of claim 1, wherein said phosphor layer has a varying thickness.
5. The apparatus of claim 1, wherein said phosphor layer has a thickness in the range of 5 to 500 microns.
6. The apparatus of claim 1, wherein said air gap has a thickness in the range of 5 to 1000 microns.
7. A method for forming a light emitting diode apparatus, the method comprising:
  - encapsulating at least one light emitting diode with an encapsulation;
  - forming a stepped reflector as a boundary for the encapsulation wherein the stepped reflector comprises a wire bonding region;
  - covering the encapsulation with a lens having a phosphor layer formed upon a bottom surface, wherein an air gap is formed between the encapsulation and the phosphor layer.
  8. The method of claim 7, further comprising forming the phosphor layer with an inorganic dye.
  9. The method of claim 7, further comprising forming the phosphor layer to have a uniform thickness.
  10. The method of claim 7, further comprising forming the phosphor layer to have a varying thickness.
  11. The method of claim 7, further comprising forming the phosphor layer to have a thickness in the range of 5 to 500 microns.
  12. The method of claim 7, further comprising forming the air gap to have a thickness in the range of 5 to 1000 microns.

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